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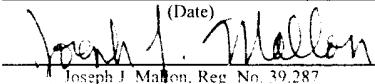
PATENT

Case Docket No. PUNIV.002A  
Date: October 1, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Bassler, et al.  
Appl. No. : 09/853,257  
Filed : May 10, 2001  
For : LUXO-SIGMA54  
INTERACTIONS AND  
METHODS OF USE  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all  
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United States Postal Service as first class mail in  
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for Patents, Washington, D.C. 20231, on

October 1, 2001  
(Date)  
  
Joseph J. Mallon, Reg. No. 39,287

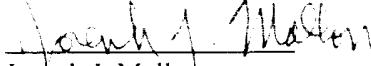
**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH**

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement.
- A PTO Form 1449 with one hundred one 101 references.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard.

  
Joseph J. Mallon  
Registration No. 39,287  
Attorney of Record



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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App. No. : 09/853,257 )  
Filed : May 10, 2001 )  
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Examiner : Unknown

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/1/01

By: Joseph J. Mallon

Joseph J. Mallon  
Registration No. 39,287  
Attorney of Record  
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FORM PTO-1449 <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PUNIV.002A	APPLICATION NO. 09/853,257
	APPLICANT Bassler, et al.	
	FILING DATE May 10, 2001	GROUP Unknown

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1.	4,107,121	08/15/1978	Stoy			
2.	4,895,566	01/23/1990	Lee			
3.	4,917,686	04/17/1990	Bayston et al			
4.	4,952,419	08/28/1990	De Leon et al.			
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11.	5,902,283	05/11/1999	Darouiche et al.			
12.	5,925,552	07/20/1999	Keogh et al.			
13.	6,117,485	09/12/2000	Woodhall et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
14.	WO 98/13328	04/02/98	PCT				
15.	WO 98/40346	09/17/98	PCT				
16.	WO 98/58075	12/23/98	PCT				
17.	WO 99/00349	01/07/99	PCT				
18.	WO 99/01119	01/14/99	PCT				
19.	WO 99/29647	06/17/99	PCT				
20.	WO 99/47545	09/23/99	PCT				
21.	WO CC/11021	03/02/00	PCT				

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O P T E JC183 OCT 09 2001 INFORMATION DISCLOSURE STATEMENT BY APPLICANT PATENT & TRADEMARK OFFICE		ATTY. DOCKET NO. PUNIV.002A	APPLICATION NO. 09/853,257
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
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 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  USE SEVERAL SHEETS IF NECESSARY	ATTY. DOCKET NO PUNIV.002A	APPLICATION NO 09/853,257
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
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